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# **Multi-ancestry genome-wide association study identifies new asthma susceptibility loci that co-localize with immune cell enhancer histone marks**

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285 **Abstract**

286 We examined common variation in asthma risk by conducting the largest meta-analysis to date  
287 of asthma genome-wide association studies (23,948 cases, 118,538 controls) from multi-  
288 ancestry populations. We identified five new asthma loci, uncovered two novel associations at  
289 two known asthma loci, established asthma associations at two loci implicated previously in  
290 comorbidity of asthma plus hay fever, and confirmed nine known loci. Investigation of  
291 pleiotropy showed large overlaps in genetic variants with autoimmune and inflammatory  
292 diseases. Enrichment of asthma loci near enhancer marks, especially in immune cells, suggests  
293 a major role of these loci in the regulation of immune-related mechanisms.

294

Asthma is a complex disease that affects hundreds of millions of people worldwide. The prevalence of asthma varies between populations and ethnicities, ranging in the U.S. from 3.9% in Mexican Americans to 12.5% in African Americans<sup>1</sup>. The contribution of genetic factors to asthma risk has been demonstrated in family studies, where heritability estimates range from 25% to 80%<sup>2</sup>. The large variability in prevalence and heritability estimates reflects the significant role of environmental exposures on disease risk and the considerable phenotypic heterogeneity that is a hallmark of this disease. These features largely explain why genome-wide association studies (GWAS) of asthma have uncovered a smaller number of loci than similarly sized studies of other multifactorial diseases<sup>3</sup>. Indeed, up to now, only 21 loci have been associated with asthma *per se* in 20 studies, and these loci explain only part of the genetic risk. Moreover, an exome-chip study of EVE consortium samples showed no evidence for large-effect of low frequency or rare variants in asthma risk<sup>4</sup>. This lack of rare variants in asthma is similar to findings from other common diseases<sup>5,6</sup>. To generate larger sample sizes for GWAS meta-analysis of asthma enabling the discovery of novel risk loci, we established the Trans-National Asthma Genetic Consortium (TAGC) across worldwide groups of investigators with genome-wide data. The TAGC sample of more than 142,000 individuals representing diverse ancestry allowed us to construct a comprehensive catalog of asthma risk variants that are robust across populations and environmental exposures. By combining TAGC meta-analysis results with existing databases, we have been able to assess the genetic architecture of asthma risk alleles with respect to functional effects and shared effects with other diseases.

## RESULTS

### Meta-analysis of asthma GWAS from ethnically diverse populations

We combined data from asthma GWAS with high-density genotyped and imputed SNP data (2.93 million SNPs) in the following populations: European-ancestry (19,954 cases, 107,715

controls), African-ancestry (2,149 cases, 6,055 controls), Japanese (1,239 cases, 3,976 controls) and Latino (606 cases, 792 controls) (Supplementary Table 1). After extensive QC of summary data provided by each participating group (Online Methods, Supplementary Note and Supplementary Table 2), we first conducted ancestry-specific meta-analyses followed by a multi-ancestry meta-analysis of all populations (23,948 cases, 118,538 controls) to identify additional loci with pan-ancestry effects. As childhood-onset asthma may be distinct from later-onset asthma<sup>7</sup> and may represent a more homogeneous subgroup, we also performed analyses on the pediatric subgroup (asthma onset  $\leq 16$  years; 8,976 cases, 18,399 controls). All meta-analyses were performed using both fixed-effects (significance summarized in  $P_{fixed}$ ) and random-effects ( $P_{random}$ ). For all results, we employed a stringent  $P_{random}$  (or  $P_{fixed}$ ) threshold of  $10^{-8}$  to define genome-wide significance. As results were consistent between methods regarding the detection of loci with at least one SNP significantly associated with asthma, we present the results from the random-effects analysis for the European-ancestry and multi-ancestry meta-analyses which include a large number of studies allowing an accurate estimate of the between-study variance and results from the fixed-effects analysis for the African-ancestry, Japanese and Latino meta-analyses (including at most seven studies). We observed little evidence of inflation in the test statistics in either the ancestry-specific (lambda: European-ancestry, 1.031; African-ancestry, 1.014; Japanese, 1.021; Latino, 1.044) or multi-ancestry (lambda=1.046) meta-analyses (Supplementary Fig.1).

We identified 523 genome-wide significant SNPs ( $P_{random} \leq 10^{-8}$ ) at 14 loci in European-ancestry populations (Fig.1, Table 1, Supplementary Tables 3 and 4; see definition of a locus in Online Methods). No genome-wide significant risk loci were detected in ancestry-specific analyses within the African-ancestry, Japanese or Latino populations (Supplementary Fig.2 and Supplementary Tables 5-7), possibly due to a lack of power. In the combined multi-ancestry meta-analysis, 90 additional SNPs of which 20 belonged to four additional regions were

significant at  $P_{\text{random}} \leq 10^{-8}$  (Fig.2, Table 1, and Supplementary Tables 3 and 8). Altogether, the 18 chromosomal regions included: five new loci associated with asthma at 5q31.3, 6p22.1, 6q15, 12q13.3, 17q21.33, two new significant associations at 6p21.33 and 10p14 that were independent from previously reported signals at these loci in ancestry-specific populations (Latino<sup>8</sup> and Japanese<sup>9</sup>), two reported associations with the phenotype asthma plus hay fever at 8q21.13 and 16p13.13 in one European-ancestry study<sup>10</sup>, and nine previously identified asthma loci. The two asthma plus hay fever signals on 8q21.13 and 16p13.13 were not detected with asthma alone in the previous study<sup>10</sup>; in the current study, the 8q21.13 locus reached genome-wide significance only in the multi-ancestry analysis. None of the lead SNPs at these 18 loci showed evidence for heterogeneity in effect sizes across studies except for the lead variant at 9p24.1 ( $P_{\text{het}}$  for Cochran's Q test<sup>11</sup>=0.008 across European-ancestry studies and  $P_{\text{het}}$ =0.02 across multi-ancestry studies, Table 1, Supplementary Fig.3). Heterogeneity in the ancestry-specific effect sizes of the lead SNPs was only detected at the 6p22.1 and 6p21.33 loci ( $P_{\text{ethnic}}$  for heterogeneity between the four ancestry-specific odds-ratios equal to 0.003 for rs1233578 at 6p22.1 and to 0.02 for rs3131064 at 6p21.33), where the lead SNPs were only significant in European-ancestry populations (Supplementary Table 3). The meta-analysis of the pediatric subgroup showed strong evidence for association at five of the 18 loci (2q12, 5q31 and 17q12-21 having  $P_{\text{random}} \leq 10^{-8}$ ; 6p21.33 and 9p24.1 having  $P_{\text{random}} \leq 5 \times 10^{-8}$ ) (Supplementary Figs.4 and 5 and Supplementary Table 9). No novel loci specific to that group were identified.

Besides the detection of new signals, our GWAS provided genome-wide significant confirmation of nine previously reported loci both in European-ancestry and multi-ancestry meta-analyses (Table 1; Supplementary Fig.6). It is of note that the lead SNP (rs2952156) at the 17q12-21 locus is within *ERBB2* ( $P_{\text{random}} = 2.2 \times 10^{-30}$  in multi-ancestry meta-analysis), which is at least 180kb from published asthma-associated signals within the *GSDMB/ORMDL3* haplotype block<sup>3</sup> (Supplementary Fig.7). This is due to effect size heterogeneity across studies

( $0.001 \leq P_{het} \leq 0.05$ ) that extends over a 200kb region spanning *ORMDL3* and *GSDMB* (Supplementary Table 10). This heterogeneity is partly due to age of onset of asthma, as previously reported<sup>7</sup>. Indeed, in the pediatric group, the 17q12-21 SNPs did not show heterogeneity ( $P_{het} \geq 0.09$ ) and the lead SNP rs8069176 is 3.6 kb proximal to *GSDMB* ( $P_{random} = P_{fixed} = 4.4 \times 10^{-26}$ ). This is supported by the comparison in SNP effect size between pediatric and non-pediatric studies which shows a significant difference for rs8069176 ( $P_{het} = 7.4 \times 10^{-4}$ ) but no difference for rs2952156 ( $P_{het} = 0.11$ ). The two SNPs, rs2952156 and rs8069176, are in moderate LD ( $r^2 = 0.30$ ). Interestingly, both SNPs are in strong LD ( $r^2 > 0.9$ ) with missense variants that lie in *ERBB2* (for the proxy of rs2952156) and in *ZPBP2* and *GSDMB* (for the proxies of rs8069176). In addition, rs2952156 and rs8069176 are associated with *GSDMB* and *ORMDL3* expression in five blood e-QTL databases<sup>12-15</sup> and with expression of *GSDMA*, *CDK12*, *GSDMB*, *ORMDL3* in lung<sup>14,16</sup>. However, only rs2952156 is associated with *PGAP3* transcript in lung<sup>14,16</sup> (Supplementary Table 11a). Further exploration of eQTL data from GTEx<sup>14</sup>, which includes both blood and lung samples, indicated that rs8069176 accounted for a large part of the association of the most significant SNP with *ORMDL3* transcript in blood while rs2952156 accounted for a large part of the association of the most significant SNP with *PGAP3* transcript in lung (Supplementary Table 11b). This suggests that the asthma-associated signals near *PGAP3/ERBB2* and *ORMDL3/GSDMB* blocks may affect asthma risk through the expression of different genes in different tissues, as also suggested in a study of lung epithelial cells<sup>17</sup>. However, these results need to be confirmed in additional samples and integration of genotypic, gene expression and epigenetic data may bring further insight into the mechanisms underlying asthma risk at this locus.

Finally, out of the 21 published asthma loci, 12 loci were not confirmed by this study (Supplementary Table 12). The strongest asthma-associated SNP at each of these non-replicated loci, as reported in the GWAS catalog<sup>3</sup>, had *P*-values ranging between 0.99 and  $8.5 \times 10^{-7}$  in our

meta-analyses. The non-replication of these loci is due to the following reasons: (i) three loci were found in a study with small sample size and the SNPs had very low allele frequency<sup>18</sup>; (ii) four loci were ancestry-specific<sup>4,9,19</sup>; (iii) three loci were detected in studies with a small number of cases (<1,200 subjects) at the discovery stage<sup>20-22</sup>. For the other two loci reported in European-ancestry studies<sup>23,24</sup>, *IL6R* rs4129267 and *IL2RB* rs2284033 ( $P_{\text{random}} = 8.5 \times 10^{-7}$  and  $7.9 \times 10^{-5}$  in multi-ancestry meta-analysis) had their  $P$ -values dropping to 0.01 and 0.08 respectively after removing the datasets of the initial publications<sup>23,24</sup>.

To investigate whether the 18 asthma loci identified in this study contain multiple distinct signals, we performed approximate conditional regression analysis based on summary statistics for all loci, except for the 9p24.1 region which showed heterogeneity in SNP effect size across studies over the whole locus. For the 17q12-21 locus, this analysis was restricted to the pediatric sub-group in which there was no heterogeneity. This analysis was based on summary statistics of European-ancestry fixed-effects meta-analysis, using the Genome-wide Complex Trait Analysis (GCTA) software<sup>25</sup> (Online Methods). After conditioning on the lead SNP in each investigated region, four secondary signals (2q12, 5q22.1, 5q31, 6p21.32) remained genome-wide significant ( $P_{\text{fixed}} \leq 10^{-8}$ ) after conditioning on the lead SNP (Supplementary Table 13), thus resulting in 22 distinct genome-wide significant signals identified by this study.

To provide biological insight into our findings, we conducted a comprehensive bioinformatic assessment of the asthma association signals using several resources. First, to pinpoint the most likely candidate genes at the nine loci harboring novel associations with asthma *per se*, we interrogated results of six eQTL studies in tissues relevant to asthma, blood (including peripheral blood<sup>13,14</sup>, lymphoblastoid cell lines (LCLs)<sup>12,15</sup>, monocytes<sup>26</sup>) and whole lung tissue<sup>14,16</sup>. This e-QTL investigation was complemented by the search for missense variants potentially tagged by the association signals. To assess the level of overlap of asthma



associations with susceptibility loci for other phenotypes, we next interrogated the GWAS catalog<sup>3</sup> while varying the strength of association with asthma (thresholds from  $10^{-8}$  to  $10^{-3}$ ). To get better insight on how asthma associated variants may functionally influence disease, we then interrogated the ROADMAP/ENCODE functional genomics data generated in a wide range of human cell types<sup>27</sup>. Finally, the degree of connectivity between the asthma-associated loci was assessed through text mining<sup>28</sup>. Results from these analyses are described in the following sections.

### **Potential candidate genes at the nine loci harboring novel associations with asthma**

A summary of eQTL analysis for these nine loci is described in Table 2 and Supplementary Table 14.

#### ***New asthma susceptibility loci***

Five new loci were identified in the current study. The strongest new signal in both European-ancestry ( $P_{\text{random}}=8.6 \times 10^{-13}$ ) and multi-ancestry ( $P_{\text{random}}=2.2 \times 10^{-12}$ ) meta-analyses was with SNP rs2325291 in the intron of *BACH2* at 6q15 (Fig.1d), which is strongly correlated with rs10455168 ( $r^2=0.91$ ), a cis-eQTL altering expression of *BACH2* in blood<sup>13</sup>. *BACH2* encodes a zip transcription factor that is a key regulator of nucleic acid-triggered antiviral responses in human cells<sup>29</sup>. The second strongest signal was with rs17637472 ( $P_{\text{random}}=3.3 \times 10^{-9}$  and  $6.6 \times 10^{-9}$  in European-ancestry and multi-ancestry analyses), which lies between *ZNF652* and *PHB* at 17q21.33 (Fig.1f), and is a strong cis-eQTL for *GNGT2* (173kb from rs17637472) in blood<sup>12,13,15,26</sup>. *GNGT2* was shown to interact with beta-Arrestin 1 to promote G-protein-dependent Akt signaling for NF-kappaB activation<sup>30</sup>. Among the other new signals, the lead SNP rs1233578 at 6p22.1 ( $P_{\text{random}}=5.3 \times 10^{-9}$  in European-ancestry populations) resides between *TRIM27* and *GPX5* (Fig.1b). No cis-eQTL was identified at this locus. Notably, *TRIM27* was reported to be a negative regulator of CD4 T cells<sup>31</sup> and to negatively regulate signaling

mediated by NOD2, a protein involved in antimicrobial responses<sup>32</sup> and a key innate immune receptor in inflammation. The 12q13.3 lead SNP (rs167769), which was only significant in the multi-ancestry analysis ( $P_{\text{random}}=3.9 \times 10^{-9}$ ), lies in an intron of *STAT6* (Fig.2e) and is strongly associated with *STAT6* expression in blood<sup>12,13,15</sup> and lung<sup>16</sup>. *STAT6* is a transcription factor that is essential for the functional responses of Th2 lymphocytes mediated by IL-4 and IL-13<sup>33</sup>. This result robustly establishes the association of *STAT6* with asthma risk that has been disputed by candidate gene studies<sup>34</sup>. The 5q31.3 lead SNP rs7705042 ( $P_{\text{random}}=7.9 \times 10^{-9}$  in multi-ancestry analysis) is within an intron of *NDFIP1* (Fig.2b) and associated with *NDFIP1* expression in blood<sup>13,15</sup>. *NDFIP1* is a potent inhibitor of antiviral response<sup>35</sup> and inflammation processes<sup>36</sup>.

#### ***Novel signals at loci previously associated with asthma in ancestry-specific populations***

Two associations in our study were with novel SNPs at loci previously reported to be associated with asthma in Latinos<sup>8</sup> and Japanese<sup>9</sup>. The first one, located at 6p21.33, was reported in an admixture mapping study in Latinos<sup>8</sup>. The lead SNP in our study, rs3131064, intergenic between *IER3* and *DDR1* (Fig.1c), only reached significance in European-ancestry populations ( $P_{\text{random}}=2.6 \times 10^{-10}$ ) and was not correlated ( $r^2=0$ ) with any of the SNPs reported under the Latino admixture peak. The 6p21.33 region is gene rich, with many genes whose transcripts are associated with our asthma signals, including *IER3*, *FLOT1*, *VAR2*, *MICB*, *HLA-C*, *MICA* in blood<sup>12-15,26</sup> and *CDSN*, *TUBB*, *HLA-A*, *AGPAT1*, *HLA-C* and *MICA* in lung<sup>14,16</sup> (Supplementary Table 14). Of these genes, *MICA*, *MICB* and HLA-Class I genes are known to be involved in immune-related mechanisms. This 6p21.33 locus is more than 600 kb apart from the previously reported 6p21.32 locus that spans HLA-Class II genes. **Intensive sequencing efforts and imputation of HLA classical alleles, which requires access to the raw genotype data, are needed to dissect the whole HLA region.** The second association at a known locus was at 10p14 (Fig.2d), where a GWAS in Japanese<sup>9</sup> reported association with adult asthma (lead SNP

rs10508372). We detected a new signal that reached significance only in the multi-ancestry meta-analysis (rs2589561;  $P_{\text{random}}=3.5\times 10^{-9}$ ) and was not correlated with rs10508372 in both European-ancestry and Japanese populations. The SNP rs2589561 is in a gene desert, 929 kb distal of *GATA3*. By using recently published promoter capture Hi-C data in hematopoietic cells<sup>37</sup>, we found that two proxies of rs2589561 ( $r^2>0.9$ ) lie in a region interacting with *GATA3* promoter, especially in immune CD4+T cells. This result and the function of *GATA3* protein, a transcription factor that is a master regulator of differentiation of Th2 cells and innate lymphoid cells type 2<sup>38</sup>, make *GATA3* an excellent candidate for asthma risk.

#### *Asthma signals previously reported for asthma plus hay fever*

Loci on chromosomes 8q21.13 and 16p13.13 were previously associated with the comorbid phenotype of asthma plus hay fever but not with asthma alone in one European-ancestry study<sup>10</sup>. In our study, the 8q21.13 lead SNP rs12543811 ( $P_{\text{random}}=1.1\times 10^{-10}$  in the multi-ancestry analysis) lies between *TPD52* and *ZBTB10* (Fig.2c) and is in strong LD ( $r^2=0.79$ ) with the previously reported asthma/hay fever SNP rs7009110. These two SNPs represent the same signal, as the association with rs12543811 becomes non-significant after conditioning on rs7009110. Thus, the 8q21.13 locus is likely to be implicated in allergic asthma. A functional analysis of the asthma/hay fever locus pinpointed *PAG1* as a promising candidate<sup>39</sup>. The chromosome 16p13.13 SNP rs17806299 is within an intron of *CLEC16A* (Fig.1e;  $P_{\text{random}}=2.1\times 10^{-10}$  and  $2.7\times 10^{-10}$  in European-ancestry and multi-ancestry meta-analyses) and in moderate LD ( $r^2=0.66$ ) with the previously reported asthma/hay fever signal (rs62026376 in *CLEC16A*)<sup>10</sup>. However, the association of asthma with rs17806299 was completely removed after conditioning on rs12935657, a SNP present in our data and having  $r^2=0.96$  with rs62026376, indicating that these SNPs represent the same signal and 16p13.13 is probably also an allergic asthma locus. The SNP rs17806299 is strongly associated with the expression of a nearby gene, *DEXI* in blood<sup>13,26</sup>. Similar observations of associations of *CLEC16A* SNPs with

autoimmune diseases and expression of *DEXI* together with chromosome conformation capture experiments implicated *DEXI* as the most likely candidate gene for autoimmune diseases<sup>40</sup>. The potential relevance of *DEXI* in allergic diseases has also been previously discussed<sup>10</sup>.

It is of note that all the lead SNPs at the nine new asthma-associated loci lie in non-coding regions and were not found in linkage disequilibrium (LD;  $r^2 \geq 0.8$ ) with missense variants.

### **Overlap of asthma associations with susceptibility loci for other phenotypes**

We next explored whether the nine loci that harbored new signals for asthma *per se* overlapped with GWAS loci reported for allergy-related phenotypes, lung function phenotypes, or other immune-related diseases using the GWAS catalog<sup>3</sup>. Six of these nine asthma loci showed overlapping associations with allergy-related phenotypes and eight of them with auto-immune diseases and infection-related phenotypes (Table 2). The 6p21.33 locus which harbors HLA-Class I genes, had the greatest number of overlapping associations, which reflects the density of immune genes in that region. Moreover, three asthma loci overlapped with associations with lung function phenotypes.

We then expanded our search of overlap between the asthma association signals having multi-ancestry  $P_{\text{random}} < 10^{-4}$  in this study and GWAS signals with all phenotypes and diseases in the GWAS catalog<sup>3</sup>. We examined 4,231 unique trait-loci combinations after applying a filtering process (Online Methods). We used the disease classification from Wang *et al.*<sup>41</sup> to group traits in the GWAS catalog. We summarized the overlap of GWAS catalog signals with asthma signals by the proportion of catalog SNPs having asthma  $P$ -values smaller than  $10^{-4}$  in our analysis. This revealed significant overlap with autoimmune disease (10%, i.e. 49 out of 480 catalog SNPs show evidence for asthma association), consistent with the hypothesized shared susceptibility<sup>42</sup>, moderate overlap with diseases having an inflammatory component (cardiovascular diseases, cancers, neuro-psychiatric diseases), and small to no overlap with

other diseases (Table 3). When investigating specific diseases and traits (Supplementary Table 15), the most significant overlap is with allergic phenotypes including atopic dermatitis. There is little to no overlap with other phenotypes that appear most frequent in the GWAS catalog (for example, there are no common associations with type 2 diabetes, Supplementary Table 15).

When we examined an even larger set of SNPs in the GWAS catalog (those that show an association with asthma at  $P_{\text{random}} \leq 10^{-3}$  in our multi-ancestry meta-analysis), additional interesting pleiotropic signals emerged (Supplementary Table 16). In this analysis, we used a less stringent significance threshold to provide a larger set of candidate genes for future asthma studies and for pleiotropy analysis of asthma and diseases sharing genetic associations. This larger set of associations paints a more complete picture of asthma risk, revealing a wide range of pleiotropic effects for a number of traits, ranging from lung cancer and multiple sclerosis with rs3817963 in *BTNL2*, to schizophrenia and autism spectrum disorder with rs7914558 in *CNNM2*. Finally, this analysis generated an extended set of asthma candidate genes. Indeed, there are 210 SNPs in the GWAS catalog that are associated with asthma in our dataset at this association threshold of  $10^{-3}$ . We estimated that the proportion of false positives among these is smaller than 1%. The most interesting signals are those with SNPs implicating novel pleiotropic asthma genes; for example, rs1464510 ( $P_{\text{random}} = 2.2 \times 10^{-5}$ ) in the *LPP* gene at 3q28 that was previously implicated in vitiligo and celiac disease.

### **Relationship between asthma associated genetic variants and epigenetic marks of gene regulation**

Because nearly all lead SNPs at the 18 loci identified by this study lie in non-coding sequences, except for the missense variant (rs20541) within *IL13*, we investigated whether the asthma-associated variants and their proxies ( $r^2 \geq 0.80$ ) were concentrated in cis-regulatory DNA elements to get greater insight into their potential functional role. We interrogated the integrated

functional data from 111 ROADMAP and 16 ENCODE reference epigenomes in a wide range of human cell types<sup>27</sup>. We focused on histone marks characterizing enhancers and promoters assayed in all 127 epigenomes and DNase I hypersensitivity sites available in 51 cell types. To assess enrichment of the asthma risk variants for co-localization with these regulatory elements, we used the Uncovering Enrichment through Simulation pipeline<sup>43</sup>. This approach first consists of generating random sets of SNPs, from the SNP panel used for analysis, that match to the characteristics of the original asthma-associated SNPs (distance from the nearest transcription start site, number of LD partners, minor allele frequency). The SNP data (original and random sets of SNPs and their LD partners) are then intersected with the cell-specific epigenome tracks of regulatory elements using IntersectBed<sup>44</sup> to determine which SNPs co-localize with a given type of regulatory elements in a track. For each track, the empirical P-value for enrichment is equal to the number of instances when the frequency of co-localization of the random SNP sets with a regulatory feature is greater than or equal to the frequency of the co-localization of the original asthma-associated SNP set with that feature divided by the number of random SNPs sets generated (here, 10,000). Benjamini-Hochberg false discovery rates (FDRs) were computed from these empirical P-values to correct for multiple testing (Online Methods). Only 16 out the 18 identified asthma loci were explored because we excluded the two loci spanning the HLA region due to the large amount of variability and extensive LD in this region. While asthma-associated variants were strongly enriched for co-localization with enhancer marks, there was only weak enrichment in promoter marks (Table 4 and Supplementary Table 17). This enrichment was slightly more pronounced when active enhancer states were examined separately from all active and inactive enhancer states. This enrichment was highest in leukocytes (27 leukocytes of which 19 (70%) are lymphocytes and monocytes). For example, a  $FDR \leq 5\%$  for enrichment of asthma loci in active enhancers was observed in 100% of leukocytes compared to 50% of all cell types, and similarly for enrichment in both active and

inactive enhancers ( $\text{FDR} \leq 5\%$  in 89% of leukocytes and in 43% of all cell types) (Table 4). The enrichment of asthma risk variants for co-localization with DNase I hypersensitivity sites was intermediate between the enrichments in promoters and enhancers and was again increased in blood cells ( $\text{FDR} \leq 5\%$  in 40% of leukocytes and 12% of all cell types) (Table 4 and Supplementary Table 18). The strong enrichment of asthma loci in enhancer marks, especially in immune cells, indicates that the associated genetic variants are likely involved in regulation of immune-related functions. This also suggests that epigenetic mechanisms may be key to promoting asthma, as evidenced for IgE levels, an asthma-associated phenotype<sup>45</sup>.

### Connectivity between asthma-associated loci

To characterize the degree of connectivity between genes located at the 18 asthma-associated loci, we applied the Gene Relationships Across Implicated Loci (GRAIL) text-mining approach<sup>28</sup>. Eleven genes showed significant similarity to genes in other associated loci at  $P_{\text{GRAIL}} < 0.05$  (7 of them being highly connected with  $P_{\text{GRAIL}} < 10^{-3}$ ) (Fig.3 and Supplementary Table 19). These genes were connected by keywords such as ‘asthma’, ‘allergy’, ‘atopic’, ‘interleukin’, ‘cytokines’, ‘airway’, and ‘inflammation’, which confirms the central role of immune-related mechanisms accounting for these connections.

### Discussion

We present here the largest genome-wide association study of asthma in subjects of European-ancestry and in ancestry-diverse subjects. We discovered nine novel loci influencing asthma risk, using a stringent threshold of  $10^{-8}$  for genome-wide significance. This study confirms that immune-related mechanisms are prominent in the etiology of asthma, but also brings novel insights that open new routes for future asthma research. Indeed, our data show that all the asthma-associated loci identified by this study are enriched in enhancer marks and are thus

likely to be involved in gene regulation. This study also highlights that the best candidates at a number of loci are involved in immune response to viruses or bacteria, which underlines the importance of infections in asthma risk. It further provides evidence for overlap of asthma loci with loci underlying other diseases that have an inflammatory component, such as cardiovascular diseases, cancers and neuro-psychiatric diseases. These collective findings both expand our current understanding of the genetic architecture of asthma as well as provide a more comprehensive resource for future genetic and epigenetic studies of asthma and further investigation of the mechanisms underlying the pleiotropic effects of genetic variants on asthma and a broad range of multifactorial diseases.

This study, which doubles the number of cases from the previous largest genome-wide studies<sup>23,24</sup>, identified 613 SNPs that correspond to 22 distinct association signals at 18 loci that met criteria for genome-wide significance in European-ancestry or multi-ancestry populations. Pooling data from ethnically-diverse populations can increase power to detect new loci (four loci reached the genome-wide threshold only in the multi-ancestry analysis) but may also increase heterogeneity (two loci were only detected in European-ancestry populations). If we assume an asthma prevalence of 10%, the variance in liability to asthma explained by the 22 genome-wide significant variants of this study was estimated to be 3.5% (95% Confidence Interval: 2.0%-5.4%) of which 72% was accounted for by the known loci and 28% by the new loci.

The overall relative paucity of asthma risk loci detected by large-scale GWAS compared to other common diseases may be due to the clinical heterogeneity of asthma and the important role of differing environmental exposures. It is recognized that asthma is not a single disease but that the syndrome encompasses consistent groupings of various characteristics<sup>46</sup>, including age of asthma onset, the severity of disease, occupational exposures and the varying response to treatment. Other subtypes may be defined by the character of the inflammatory infiltrate



(eosinophilic or neutrophilic). It is thus possible that additional asthma loci will be revealed by studies targeting more specific asthma sub-phenotypes and/or taking into account environmental exposures.

The enrichment of the asthma loci identified by this study in enhancer marks, mainly in lymphocytes and monocytes, suggests that the underlying causal variants may modify the gene regulatory landscape in immune cells. However, known asthma genes (*IL1RL1*, *TSLP*, *IL33*, *ORMDL3/GSDMB*) are expressed in the airway epithelium where they contribute to modulating airway inflammation. Investigation of epigenetic marks in airway epithelial cells may bring additional insight. The involvement of several genes (*BACH2*, *NDFIP1* or genes within HLA), at the identified loci, in immune response to viruses provides additional evidence for a potential modulating effect of asthma-associated SNPs on the association of asthma with respiratory viral infections, as reported at the 17q12-21 locus<sup>47-49</sup>. The overlap of asthma-associated loci with those underlying a broad range of diseases strengthens the growing importance of pleiotropy in multifactorial diseases.

In conclusion, future discoveries might come by exploring more complex models of asthma phenotypes and through the joint analysis of asthma and other immune-mediated and inflammatory diseases. The central role of gene regulatory mechanisms highlighted by our study might prompt genome-wide explorations of the epigenome while integrating information on genetic variation and environmental exposure histories.

## URLs

National Human Genome Research Institute (NHGRI) and European Bioinformatics Institute (EBI) catalog of published genome-wide association, <https://www.ebi.ac.uk/gwas/>; 1000 Genomes Project Consortium Phase 3, <http://www.internationalgenome.org/>; Genome-wide Complex Trait Analysis (GCTA), [http://www.complextaitgenomics.com/software/gcta](http://www.complextaitgenomics.com/software/gcta;);

645 Blood-eQTL database, <http://genenetwork.nl/bloodeqtlbrowser/>; GTEx,  
646 <http://www.gtexportal.org/>; MuTHER database, <http://www.muth.ac.uk/>; eQTL database in  
647 lymphoblastoid cell lines from MRCA and MRCE families,  
648 <https://www.hsph.harvard.edu/liming-liang/software/eqtl/>; GHS Express,  
649 <http://genecanvas.eogene.net/uploads>; ROADMAP and ENCODE epigenomics data,  
650 [http://egg2.wustl.edu/roadmap/web\\_portal/](http://egg2.wustl.edu/roadmap/web_portal/); Uncovering Enrichment through Simulation  
651 (UES) pipeline, <https://github.com/JamesHayes/uesEnrichment>; GRAIL,,  
652 <https://www.broadinstitute.org/mpg/grail/>; VIZGRAIL,  
653 <http://software.broadinstitute.org/mpg/grail/vizgrail.html>, LocusZoom,  
654 <http://locuszoom.sph.umich.edu/locuszoom/>  
655

## ONLINE METHODS

### GWAS Studies and Data Shared

All 66 genome-wide association studies that form the TAGC consortium are described in the Supplementary Note and summarized in Supplementary Table 1. These studies included studies of European-ancestry (19,954 cases, 107,715 controls), seven studies of African-ancestry (2,149 cases, 6,055 controls), two Japanese studies (1,239 cases, 3,976 controls) and one Latino study (606 cases, 792 controls), making a total of 23,948 cases and 118,538 controls. There were 27 studies including only childhood-onset asthma (defined as asthma diagnosed at or before 16 years of age) which allowed us analyzing separately a pediatric subgroup (8,976 cases, 18,399 controls). All subjects provided informed consent to participate in genetic studies and local ethics committees for each of the individual studies approved the study protocol. Definition of asthma was based on doctor's diagnosis and/or standardized questionnaires (see Supplementary Note for details). The samples were genotyped on a variety of commercial arrays, detailed in the Supplementary Note and Supplementary Table 2. GWAS were performed on imputed SNP data that were generated using Hapmap2 as reference panel and one of the commonly used imputation software (Supplementary Note and Supplementary Table 2). In each dataset, the effect of each individual SNP on asthma, assuming an additive genetic model, was estimated through a logistic regression-based approach and expressed in terms of a regression coefficient with its standard error; the detailed methodology and software used for analysis by each study can be found in the Supplementary Note and Supplementary Table 2.

Imputation, quality control (including adjustments for population stratification) and analysis was done by each group independently and data on a predefined set of 3,952,683 autosomal SNPs was shared. These SNPs were those of the HapMap Phase 2, release 21 panel in subjects from European, Asian and African-ancestry that were filtered using SNP annotation from the

build 37.3 of the reference sequence and dbSNP b135 (31,587 SNPs (0.8% of all SNPs) from previous annotations that showed discrepancies with the chosen annotation were deleted). The variables that were shared contained the study name, general information on SNPs (rs number, chromosome, position, alleles (baseline and effect alleles as used in the analysis by each study), SNP status (imputed or genotyped SNP and whether the SNP genotype or imputed value was used in computation), quality control (QC) indicators (call rate and *P*-value for Hardy-Weinberg (HW) equilibrium test for genotyped SNPs, software used for imputation and imputation quality score for imputed SNPs), allele frequencies in cases and controls and information on association statistics (regression coefficient for SNP effect, standard error of regression coefficient, *Z* scores, *P*-values associated with *Z* score statistic). Association statistics for at least one SNP in at least one study were available for 2.93 million SNPs.

#### **Quality control of shared data**

For each SNP, the alleles on the Hapmap2 template (reference and alternate alleles on the positive strand) were compared to the alleles (baseline and effect alleles) used in the analysis by each group. When necessary, the association variables (allele frequencies, regression coefficient for SNP effect, *Z* score) were swapped to match the reference/alternate alleles of the template. Data for each SNP showing any ambiguity or error in assignment to the template were set to missing. In addition, a number of QC checks were done regarding the name, format, range of possible values for all shared variables mentioned in the previous paragraph as well as consistency checks across variables. Any problem or inconsistency was corrected, otherwise the data for that SNP were set to missing. Strict QC criteria were used for inclusion of a SNP in the analysis. When a SNP genotype was used in the study analysis, these criteria were: call rate  $\geq 97\%$ , *P*-value for HW test  $\geq 10^{-6}$  and minor allele frequency (MAF)  $\geq 0.01$  in both controls and cases. When a SNP imputed value was used in the analysis, the criteria were: imputation

quality score  $\geq 0.5$  and  $MAF \geq 0.01$  in both controls and cases. The distribution of the summary statistics (regression coefficient for SNP effect, standard error, Z score) of all SNPs passing QC was examined for each study; SNPs that still showed extreme Z scores ( $\geq 7$  or  $\leq -7$ ) after QC were excluded.

## Meta-analysis of GWAS

We used both inverse variance fixed-effects and random-effects models when the meta-analyses included a large number of studies (European-ancestry, multi-ancestry and pediatric sub-group meta-analyses), which allows an accurate estimate of the between-study variance based on the DerSimonian and Laird method<sup>50</sup>. We used a fixed-effects model for the meta-analyses of the African-ancestry, Japanese and Latino populations, which included at most seven studies. For all these meta-analyses, we used the SNP regression coefficient and standard error from each study for which the SNP passed QC<sup>11</sup>. All meta-analyses were done with Stata® version 14.1 (STATA Corp., College Station, Texas, USA). To minimize the false-positive findings and to obtain robust results, we examined the combined results for SNPs for which at least two-thirds of the studies contributed to a meta-analysis. We applied a stringent threshold of  $P_{\text{random}}$  (or  $P_{\text{fixed}}$ ) of  $10^{-8}$  to declare a SNP effect as genome-wide significant. This threshold, which is stricter than the standard threshold of  $5 \times 10^{-8}$ , classically used in GWAS, allows minimizing the family-wise error rate. We checked that using the  $5 \times 10^{-8}$  threshold did not change the number of asthma-associated loci but only the list of significant SNPs at a locus. For each lead SNP, we defined a support interval around the lead SNP designated as “locus”; the bounds of this interval were the positions of the two most extreme SNPs among all SNPs lying on each side of the lead SNP and having  $P_{\text{random}}$  (or  $P_{\text{fixed}}$ )  $\leq 10^{-6}$  (i.e. SNPs with  $P$ -values within two orders of magnitude of the critical genome-wide significance threshold). In each meta-analysis, heterogeneity of per-SNP effect sizes across studies was assessed using the

Cochran's Q statistic<sup>11</sup>. Heterogeneity between the four ethnic groups (European-ancestry, African-ancestry, Japanese and Latino) was also tested using the Cochran's statistic applied to meta-analysed results obtained in each ethnic group and all groups combined.

#### **Conditional analysis of asthma-associated loci**

The Genome-wide Complex Trait Analysis (GCTA) software<sup>25</sup> (see URL section) was used to perform approximate conditional analysis for all loci with at least one SNP reaching the genome-wide significance level. This approximate conditional analysis is based on the summary meta-analysis statistics obtained under a fixed-effects model and takes into account the correlations among SNPs, that are estimated from a large reference population included in the meta-analysis. Approximate conditional analysis was only carried out in the European-ancestry ethnic group which can be assumed to share a similar LD pattern and represents the largest ancestry-specific dataset and the only one to show genome-wide significant results. As this analysis assumes no heterogeneity in SNP effect size across studies, loci showing evidence for heterogeneity ( $P_{\text{het}} \leq 0.05$  based on the Cochran's Q test) for a large portion of the locus were excluded. This was the case of the 9p24.1 locus that shows evidence for heterogeneity across the whole locus and the 17q12-21 locus where there is evidence for heterogeneity across a 200 kb segment within that locus. However, there is no heterogeneity between studies at 17q12-21 in the pediatric sub-group while there is still heterogeneity at 9p24.1 in this sub-group. Therefore, GCTA was applied to all 18 asthma-associated loci, shown in Table 1, except for 9p24.1 and 17q12-21 loci, using summary statistics from the meta-analysis of all European-ancestry studies. For the 17q12-21 locus, this analysis was restricted to the European-ancestry pediatric sub-group. We used the large ECRHS (European Community Respiratory Health Survey) dataset as the reference sample to estimate LD. This dataset was genotyped using Illumina Human610Quad array, as part of the GABRIEL consortium, and included 2101

unrelated individuals after QC<sup>23</sup>. Imputation was done using the MACH software<sup>51</sup> and Hapmap2, release 21 panel; only well-imputed SNPs (imputation quality score  $rsq > 0.8$ ) and with minor allele frequency (MAF)  $\geq 1\%$  were kept in this reference panel. For each asthma-associated locus, the region explored by conditional analysis extended by 500 kb on each side of the two extreme SNPs defining the support interval around the lead SNP (see preceding paragraph). However, we reduced that extension to 250 kb for the 6p21.33 and 6p21.32 loci to avoid overlap. The length of the regions explored by conditional analysis varied from 1.01 Mb to 1.63 Mb. Within each investigated region by conditional analysis, fixed-effects summary meta-analysis data for SNPs belonging to that region were adjusted for the lead SNP using the --cojo-cond option. If there was an additional SNP meeting the Bonferroni-corrected threshold for the total number of SNPs overall all regions investigated by GCTA ( $P = 4.1 \times 10^{-6}$ ) after adjustment for the lead SNP, we performed an additional round including both SNPs. If the remaining SNPs had  $P$ -values greater than  $4.1 \times 10^{-6}$ , no further analysis was performed. The results of this analysis are reported in Supplementary Table 13.

## Identification of cis-eQTLs at new asthma-associated loci

To gain a better understanding of the potential genes driving the association signals at the novel asthma loci, we defined a list of SNPs to be interrogated that included the lead SNPs, the secondary signals identified by conditional analysis and all SNPs in LD with these SNPs ( $r^2$  comprised between 0.5 and 1). To search for cis-expression quantitative trait loci (eQTLs) within at most 1 Mb of each investigated SNP, we interrogated six publically available eQTL databases by giving priority to cell types more likely to be involved in asthma biology (blood cell types and lung tissue): (i) a meta-analysis of the transcriptional profiles from peripheral blood cells of 5,311 Europeans (the blood eQTL browser<sup>13</sup>); (ii) the gene expression data of 777 lymphoblastoid cell lines (LCLs) from the MuTHER database<sup>12</sup>; (iii) the transcription profiles

of 405 and 550 lymphoblastoid cell lines from UK asthma (MRCA) and eczema (MRCE) family members, respectively<sup>15</sup>; (iv) the eQTL data from monocytes of 1490 subjects included in the GH-express database<sup>26</sup>; (v) the GTEx eQTL Browser with data from multiple tissues including blood and lung<sup>14</sup>; (vi) the transcriptional profiles from lung tissues of 1111 subjects<sup>16</sup>.

### **Identification of missense variants at asthma-associated loci**

To complement the eQTL analysis, we searched whether the lead asthma-associated SNPs and secondary signals were in strong LD ( $r^2 \geq 0.8$ ) with missense variants using the HaploReg V4.1 tool (see URL section).

### **Overlap of asthma associations with susceptibility loci for other phenotypes**

Overlap of novel genome-wide significant asthma loci with associations with allergy-related phenotypes/diseases and immune-related diseases as well as lung function phenotypes was first annotated using the March 24, 2015 version of the NHGRI-EBI (National Human Genome Research Institute and European Bioinformatics Institute) GWAS catalog<sup>3</sup> downloaded from <https://www.ebi.ac.uk/gwas/>. We then used this catalog to systematically investigate the overlap of asthma signals having  $P_{\text{random}} \leq 10^{-4}$  in the multi-ancestry meta-analysis with association signals of all diseases and traits in the catalog. That version of the catalog had 19,080 SNP entries, and 16,047 of those SNPs had a TAGC asthma association  $P$ -value. To investigate pleiotropy, we filtered out SNPs associated with asthma in the database, SNPs that have a reported GWAS  $P$ -value larger than  $10^{-7}$  (with the intent of removing some of the potential false positives in the catalog) and SNPs that are duplicated (i.e., remove disease-SNP duplications). This reduced the number of entries to 5,927. Note that this process did not remove SNPs in perfect LD associated with the same disease, nor SNPs that were present multiple times in the database as associated with different phenotypes. For some diseases or quantitative traits,



there were multiple SNPs in the same region reported in the catalog potentially yielding redundant information. Some of the SNPs could be in strong LD, but some could reflect independent signals. To avoid possible duplication of signals, we decided to keep only unique trait-loci combinations as reflected by the variables "Disease.Trait" and "Region" in the catalog. There were 4,231 unique entries left after this filtering step. Diseases/traits in the GWAS catalog were grouped using the classification from Wang *et al.*<sup>41</sup> We summarized the overlap of GWAS catalog signals with asthma signals by the proportion of catalog SNPs with asthma  $P$ -values smaller than  $10^{-4}$  in our analysis. The significance of overlap was estimated by the binomial tail probability for observing the number of TAGC SNPs with  $P_{\text{random}} \leq 10^{-4}$  among the number of SNPs reported in the GWAS catalog for a group of diseases. The significance threshold for enrichment in shared associations between a disease group and asthma was set equal to 0.05 divided by the number of disease groups investigated using a Bonferroni correction. Finally, we examined a larger set of SNPs in the GWAS catalog that show an association with asthma at  $P_{\text{random}} \leq 10^{-3}$  in TAGC multi-ancestry meta-analysis and estimated the proportion of false positives among those SNPs.

## **Relationships between asthma associated genetic variants and epigenetic marks of gene regulation**

To get better insight into the functional role of the genetic variants at the novel and known asthma loci identified by this study, we investigated whether the lead SNPs and their proxies ( $r^2 \geq 0.80$ ) were concentrated in cis-regulatory DNA elements. We used the Uncovering Enrichment through Simulation pipeline<sup>43</sup> that was adapted to the current study. This approach tests if GWAS-identified SNPs are enriched in particular functional annotations through use of Monte Carlo simulations. The original set of asthma-associated SNPs included the lead SNPs

832 at each locus (ie one SNP per asthma-associated locus, as recommended by Hayes *et al*<sup>43</sup>). We  
 833 excluded the two associated loci that span the HLA region (6p21.33 and 6p21.32) because of  
 834 the high amount of variability and LD in this region. Each of the original lead SNPs is  
 835 categorized by its distance from the nearest transcription start site (TSS) and number of LD  
 836 partners ( $r^2 \geq 0.8$ ). Quartiles for both the TSS distance and LD partner count are calculated and  
 837 the initial SNPs are binned accordingly. Then, SNPs from the whole set of imputed SNPs used  
 838 for analysis are binned according to the original SNP criteria (distance from the closest TSS,  
 839 number of LD partners, and also MAF). Random SNP sets are chosen, matching to the original  
 840 bin frequencies. LD partners ( $r^2 > 0.8$ ) for both the original lead SNPs and random SNPs are  
 841 retrieved. The SNP data, including the original and random sets of SNPs and their  
 842 corresponding LD partners ( $r^2 \geq 0.8$ ), are intersected with the cell-specific epigenome tracks of  
 843 regulatory elements using the BedTool's intersectBed<sup>44</sup>, to determine which SNPs co-localize  
 844 with a given type of regulatory elements (for example, enhancers or promoters) in a track. For  
 845 each specific track, we computed an empirical-*P* value using 10,000 random SNP sets (this *P*-  
 846 value is equal to  $n_{\text{loci}}/n$  where  $n_{\text{loci}}$  is the number of instances when the frequency of co-  
 847 localization of the random SNP sets with the regulatory feature is greater than or equal to the  
 848 frequency of co-localization of the original SNP set with that feature and  $n$  is the number of  
 849 random SNP sets chosen (here, 10,000). We used the Benjamini-Hochberg false discovery rates  
 850 (FDR) to correct for multiple testing. We interrogated the functional data from 111 ROADMAP  
 851 reference epigenomes and 16 additional epigenomes from ENCODE (Encyclopedia of DNA  
 852 elements) that are available in a wide range of human cell and tissue types<sup>27</sup> (data downloaded  
 853 from <http://egg2.wustl.edu/roadmap/data/>). We focused on enhancers and promoters that were  
 854 defined using the 15-state model trained from the core set of five chromatin marks (H3K4me3,  
 855 H3K4me1, H3K36me3, H3K27me3, H3K9me3) assayed in all 127 epigenomes. We also  
 856 examined enrichment in DNase I hypersensitivity sites that are available in 51 cell types.

## Connectivity between asthma-associated loci

We used GRAIL (Gene Relationships Across Implicated Loci)<sup>28</sup> to assess the relatedness between asthma associated loci. As described in detail previously<sup>28</sup>, to define the genes near each SNP, GRAIL finds the furthest neighboring SNPs in the 3' and 5' direction that are in LD ( $r^2 > 0.5$ ) and proceeds outward in each direction to the nearest recombination hotspot. All genes that overlap that interval are considered implicated by the SNP. If there are no genes in that region, the interval is extended by 250 kb in either direction. We took the lead SNPs at all asthma-associated loci identified by this study as a seed and queried loci to investigate biological connectivity among those loci. The relatedness between genes belonging to these loci was determined through text-mining of PubMed abstracts. Each individual gene at each locus was tested for significant enrichment in GRAIL connectivity to genes located at the other loci. The relationships between genes belonging to different loci that met the nominal threshold  $P_{\text{GRAIL}} < 0.05$  were visualized using VIZGRAIL<sup>52</sup>.

## Variance explained by the asthma associated genetic variants

We estimated the variance in liability to asthma explained by the 22 distinct genome-wide significant SNPs (18 lead SNPs plus four secondary signals identified by approximate conditional analysis) at the 18 asthma-associated loci using a method based on the liability threshold model<sup>53</sup> and assuming a prevalence of asthma of 10%. The variance in liability to asthma explained by individual SNPs was summed over all 22 significant variants. For the loci that included two SNPs (lead SNP and secondary signal), we used the SNP effect sizes estimated by approximate joint analysis using GCTA<sup>25</sup>. We also estimated the variance in liability to asthma explained by the nine lead SNPs at the nine new asthma loci and by the 13 distinct genome-wide significant signals at the nine known loci.

## **Data availability**

At time of submission, the summary statistics for association of SNPs with asthma that support the findings of this study are available to the Editor and reviewers from the corresponding author upon request. The summary statistics of the meta-analysis that support the findings of this study will be made publically available through a link from the GWAS entry catalog for the TAGC study on the EBI (European Bioinformatics Institute) web site (<https://www.ebi.ac.uk/gwas/downloads/summary-statistics>) as soon as the paper is accepted for publication.

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## **Author Contributions**

All authors provided critical review of the manuscript.

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F.D. and D.L.N. designed the study, designed and carried out the statistical analysis and wrote the manuscript. K.C.B., W.O.C.C., M.F.M. and C.O. designed the study and wrote the manuscript. P.M-J., M.B., A.V., S.L. and H.M. carried out the quality control of the data and performed statistical analysis.

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945 **Competing Financial interests**

946 The authors who are affiliated with deCODE (D.F.G., I.J., K.S., U.T., G.T. and U.S.B) are  
947 employees of deCODE genetics/AMGEN. All other co-authors did not declare any conflict of  
948 interest.

**Table 1 Genetic loci associated with asthma in European-ancestry and multi-ancestry meta-analyses**

Region <sup>a</sup>	No. Sig SNPs Eur-anc/ Multi-anc <sup>b</sup>	Lead SNP <sup>c</sup>	Position <sup>d</sup>	Nearby genes <sup>e</sup>	Allele <sup>f</sup> (R/E)	European-ancestry meta-analysis				Multi-ancestry meta-analysis			
						EA <sup>g</sup>	OR (95%CI) <sup>h</sup>	<i>P</i> <sub>random</sub> <sup>i</sup>	<i>P</i> <sub>het</sub> <sup>j</sup>	OR (95%CI) <sup>h</sup>	<i>P</i> <sub>random</sub> <sup>i</sup>	<i>P</i> <sub>het</sub> <sup>j</sup>	<i>P</i> <sub>ethnic</sub> <sup>k</sup>
New asthma susceptibility loci													
5q31.3	0 / 2	rs7705042	141,492,419	<i>NDFIP1,GNDPA1,SPRY4</i>	C/A	0.63	1.08 (1.05-1.11)	1.6 x 10 <sup>-6</sup>	0.07	1.09 (1.06-1.12)	7.9 x 10 <sup>-9</sup>	0.11	0.53
6p22.1	4 / 0	rs1233578	28,712,247	<i>GPX5, TRIM27</i>	A/G	0.13	1.11 (1.07-1.15)	5.3 x 10 <sup>-9</sup>	0.82	1.09 (1.05-1.12)	5.9 x 10 <sup>-7</sup>	0.56	0.003
6q15	26 / 26	rs2325291	90,986,686	<i>BACH2,GJA10,MAP3K7</i>	G/A	0.33	0.91 (0.89-0.93)	8.6 x 10 <sup>-13</sup>	0.78	0.91 (0.89-0.94)	2.2 x 10 <sup>-12</sup>	0.8	0.39
12q13.3	0 / 1	rs167769	57,503,775	<i>STAT6,NAB2,LRP1</i>	C/T	0.40	1.08 (1.05-1.11)	1.6 x 10 <sup>-7</sup>	0.19	1.08 (1.05-1.11)	3.9 x 10 <sup>-9</sup>	0.31	0.87
17q21.33	2 / 1	rs17637472	47,461,433	<i>ZNF652,PHB</i>	G/A	0.39	1.08 (1.05-1.11)	3.3 x 10 <sup>-9</sup>	0.56	1.08 (1.05-1.11)	6.6 x 10 <sup>-9</sup>	0.35	0.12
New signals at loci previously associated with asthma in ancestry-specific populations													
6p21.33	34 / 34	rs3131064	30,763,893	<i>IER3,DDR1</i>	T/C	0.14	1.12 (1.08-1.16)	2.6 x 10 <sup>-10</sup>	0.54	1.10 (1.06-1.13)	2.6 x 10 <sup>-8</sup>	0.46	0.02
10p14	0 / 1	rs2589561	9,046,645	<i>GATA3,CELF2</i>	A/G	0.89	0.90 (0.87-0.94)	1.4 x 10 <sup>-8</sup>	0.78	0.91(0.88-0.94)	3.5 x 10 <sup>-9</sup>	0.82	0.25
Asthma signals previously reported for asthma plus hay fever													
8q21.13	0 / 16	rs12543811	81,278,885	<i>TPD52,ZBTB10</i>	G/A	0.66	0.93 (0.91-0.95)	3.4 x 10 <sup>-8</sup>	0.47	0.92 (0.9-0.95)	1.1 x 10 <sup>-10</sup>	0.54	0.24
16p13.13	9 / 9	rs17806299	11,199,980	<i>CLEC16A,DEXT,SOCS1</i>	G/A	0.20	0.90 (0.88-0.93)	2.1 x 10 <sup>-10</sup>	0.51	0.91 (0.88-0.94)	2.7 x 10 <sup>-10</sup>	0.49	0.58
Known asthma loci													
2q12	119 / 135	rs1420101	102,957,716	<i>IL1RL1,IL1RL2,IL18R1</i>	C/T	0.37	1.12 (1.10-1.15)	9.1 x 10 <sup>-20</sup>	0.63	1.12 (1.09-1.15)	3.9 x 10 <sup>-21</sup>	0.61	0.64
5q22.1	28 / 21	rs10455025	110,404,999	<i>SLC25A46,TSLP</i>	A/C	0.34	1.15 (1.12-1.18)	2.0 x 10 <sup>-25</sup>	0.53	1.15 (1.12-1.18)	9.4 x 10 <sup>-26</sup>	0.57	0.27
5q31	16 / 28	rs20541	131,995,964	<i>IL13,RAD50,IL4</i>	A/G	0.79	0.89 (0.86-0.91)	1.4 x 10 <sup>-14</sup>	0.73	0.89 (0.87-0.92)	5.0 x 10 <sup>-16</sup>	0.77	0.62
6p21.32	78 / 82	rs9272346	32,604,372	<i>HLA-DRB1,HLA-DQA1</i>	G/A	0.56	1.16 (1.13-1.19)	4.8 x 10 <sup>-28</sup>	0.46	1.16 (1.12-1.19)	5.7 x 10 <sup>-24</sup>	0.14	0.43
9p24.1	30 / 57	rs992969	6,209,697	<i>RANBP6,IL33</i>	A/G	0.75	0.85 (0.82-0.88)	1.1 x 10 <sup>-17</sup>	0.01	0.86 (0.83-0.88)	7.2 x 10 <sup>-20</sup>	0.02	0.53
11q13.5	3 / 4	rs7927894	76,301,316	<i>C11orf30, LRRC32</i>	C/T	0.37	1.10 (1.07-1.13)	3.5 x 10 <sup>-11</sup>	0.38	1.10 (1.08-1.13)	2.2 x 10 <sup>-14</sup>	0.56	0.48
15q22.2	3 / 10	rs11071558	61,069,421	<i>RORA,NARG2,VPS13C</i>	A/G	0.14	0.89 (0.85-0.92)	1.9 x 10 <sup>-10</sup>	0.44	0.89 (0.86-0.92)	1.3 x 10 <sup>-9</sup>	0.19	0.06
15q22.33	13 / 13	rs2033784	67,449,660	<i>SMAD3,SMAD6,AAGAB</i>	A/G	0.30	1.11 (1.08-1.14)	2.5 x 10 <sup>-14</sup>	0.75	1.10 (1.08-1.13)	7.4 x 10 <sup>-15</sup>	0.76	0.48
17q12-21	158 / 173	rs2952156	37,876,835	<i>ERBB2,PGAP3,C17orf37</i>	A/G	0.70	0.86 (0.84-0.88)	7.6 x 10 <sup>-29</sup>	0.55	0.87 (0.84-0.89)	2.2 x 10 <sup>-30</sup>	0.52	0.35

<sup>a</sup>Cytogenetic position of the genome-wide significant SNPs ( $P_{\text{random}} \leq 10^{-8}$ ). <sup>b</sup>Number of genome-wide significant SNPs in the European-ancestry/multi-ancestry meta-analyses. <sup>c</sup>All lead SNPs shown in this table have imputation quality scores  $> 0.80$  in  $\geq 80\%$  of studies; these SNPs are the lead SNP in the European-ancestry meta-analysis if genome-wide significant otherwise they are the lead SNPs in the multi-ancestry meta-analysis <sup>d</sup> Build 37. <sup>e</sup>The gene where eventually the SNP lies is first indicated followed by the previous gene and next gene. <sup>f</sup>R=reference allele / E=effect allele. <sup>g</sup>EA=Effect allele frequency in European-ancestry populations (weighted average of allelic frequencies from individual studies). <sup>h</sup>Odds-ratios (ORs) were computed for the effect allele under a random-effects model. <sup>i</sup> $P$  value for association of SNP with asthma (random-effects model). <sup>j</sup> $P$  value for heterogeneity across studies using Cochran's Q test. <sup>k</sup> $P$  value for heterogeneity across ethnic groups.

**Table 2. Main characteristics of the nine loci harboring novel associations with asthma**

Region <sup>a</sup>	# of distinct signals <sup>b</sup>	Location of lead SNP <sup>c</sup>	Cis-eQTLs in blood (B) and lung tissue (L) <sup>d</sup>	Association with allergy-related and lung function phenotypes <sup>e</sup>	Association with auto-immune diseases and other immune-related traits <sup>e</sup>
<b>New asthma susceptibility loci</b>					
5q31.3	1	<i>NDFIP1</i> (intron)	B: <i>NDFIP1</i> ( $2.7 \times 10^{-9}$ )		IBD
6p22.1	1	intergenic		Lung function	
6q15	1	<i>BACH2</i> (intron)	B: <i>BACH2</i> ( $2.9 \times 10^{-10}$ )		MS, T1D, CD, IBD, V, IGG
12q13.3	1	<i>STAT6</i> (intron)	B: <i>STAT6</i> ( $9.8 \times 10^{-198}$ ) L: <i>STAT6</i> ( $3.7 \times 10^{-37}$ )	IgE (total, specific) Lung function	Pso, ISP_IFN
17q21.33	1	intergenic	B: <i>GNGT2</i> ( $2.1 \times 10^{-52}$ )	Atopic dermatitis	ISP_IL2
<b>New asthma signals at loci previously associated with asthma in ancestry-specific populations</b>					
6p21.33	3	intergenic	B: <i>IER3</i> ( $8.6 \times 10^{-47}$ ), <i>FLOT1</i> ( $9.1 \times 10^{-33}$ ), <i>VAR2</i> ( $3.7 \times 10^{-30}$ ), <i>MICB</i> ( $6.9 \times 10^{-21}$ ), <i>HLA-C</i> ( $4.1 \times 10^{-18}$ ), <i>MICA</i> ( $7.4 \times 10^{-12}$ ), L: <i>CDSN</i> ( $4.8 \times 10^{-19}$ ), <i>TUBB</i> ( $2.3 \times 10^{-16}$ ), <i>HLA-A</i> ( $1.9 \times 10^{-13}$ ), <i>AGPAT1</i> ( $2.4 \times 10^{-12}$ ), <i>HLA-C</i> ( $5.6 \times 10^{-12}$ ), <i>MICA</i> ( $2.5 \times 10^{-9}$ )	IgE (total, specific), Self-reported allergy, Atopic dermatitis, Lung Function	SLE, UC, RA, IBD, BS, GD, SS, AS, Pso, UC, V, WBC, MoC, DS, HIV-1, SJS, HB, HBV, IMN, CD4:CD8 ratio, HIV-1C
10p14	1	intergenic	None	Self-reported allergy	RA, ISP_IL1B, ISPV
<b>Asthma signals previously reported for asthma plus hay fever</b>					
8q21.13	1	intergenic	None	Atopic dermatitis, Asthma + hay fever Self-reported allergy	RA
16p13.13	1	<i>CLEC16A</i> (intron)	B: <i>DEXI</i> ( $2 \times 10^{-43}$ )	Atopic dermatitis, Asthma + hay fever	T1D, PBC, MS, RA, IBD, CD, LEP

<sup>a</sup>Cytogenetic position of the genome-wide significant SNPs ( $P_{\text{random}} \leq 10^{-8}$ ). <sup>b</sup>Number of distinct association signals at a locus detected by conditional analysis using the Genome-wide Complex Trait Analysis (GCTA) software<sup>25</sup> (Online Methods). <sup>c</sup>The protein coding genes flanking intergenic SNPs are shown in Table 1. <sup>d</sup>Cis-genes whose expression is

associated with lead asthma-associated SNPs or proxies ( $r^2 \geq 0.8$ ) using six eQTL databases from whole blood<sup>13,14</sup>, lymphoblastoid cell lines<sup>12,15</sup>, monocytes<sup>26</sup> and lung<sup>14,16</sup>; only genes showing the strongest associations are shown in this table (Supplementary Table 14 for details). <sup>e</sup>Associations with other diseases are based on the GWAS catalog<sup>3</sup>: IBD=Inflammatory bowel diseases (Crohn's disease), MS=multiple sclerosis, , T1D=type 1 diabetes, CD=celiac disease, V=vitiligo, IGG=IgG Glycosylation, Pso=psoriasis, ISP\_IFN=Immune Response to Smallpox (secreted IFN-alpha), ISP\_IL2 Immune Response to Smallpox (secreted IL2), SLE=Systemic Lupus Erythematosus, UC=Ulcerative colitis, RA=Rheumatoid arthritis, BS=Behcet syndrome, GD=Grave's disease, SS=Systemic sclerosis, AS=Ankylosing spondylitis, WBC=White Blood cell count, MoC=monocyte count, DS=Dengue shock, HIV-1=HIV-1-susceptibility, SJS=Stevens-Johnson syndrome, HB=Hepatitis B infection, HBV=Hepatitis B vaccine response, IMN=Idiopathic membranous nephropathy, CD4:CD8=CD4:CD8 lymphocyte ratio, HIV-1C=HIV-1 control, ISP\_IL1B=Immune Response to Smallpox (secreted IL-1 beta), ISPV=Immune response to smallpox vaccine (IL-6), PBC=Primary biliary cirrhosis, LEP=Leprosy.



**Table 3. Overlap of TAGC asthma-associated SNPs<sup>a</sup> with GWAS catalog association signals by disease group**

Disease Group <sup>b</sup>	Number of GWAS catalog association signals	Number of SNPs associated with asthma at $P_{\text{random}} \leq 10^{-4}$ in the multi-ancestry meta-analysis	Enrichment $P$ -value <sup>c</sup>
Cardiovascular	743	20	$7.8 \times 10^{-42}$
Body size and morphology	346	2	$5 \times 10^{-4}$
Immune/Autoimmune	480	49	$3.0 \times 10^{-129}$
Nervous system	242	4	$1.4 \times 10^{-8}$
Blood	594	10	$1.3 \times 10^{-19}$
Neuropsychiatric	114	5	$1.5 \times 10^{-12}$
Cancer	417	7	$4.0 \times 10^{-14}$
Endocrine system	276	2	$4 \times 10^{-4}$
Digestive system	347	16	$1.4 \times 10^{-37}$
Eyes	177	2	$2 \times 10^{-4}$
Respiratory system	85	2	$3.6 \times 10^{-5}$
Infectious disease/Infection	104	2	$5.3 \times 10^{-5}$
Urinary system	144	1	0.015
Alcohol, smoking, and illicit substances	30	0	1
Musculoskeletal system	132	0	1

<sup>a</sup> TAGC asthma-associated SNPs are those having  $P_{\text{random}} \leq 10^{-4}$  in the multi-ancestry meta-analysis. <sup>b</sup> Diseases from the GWAS catalog were grouped according to the disease classification proposed by Wang *et al.*<sup>41</sup> Note that the “Digestive system” group includes Crohn's Disease, a subtype of Inflammatory Bowel Disease. <sup>c</sup> The enrichment  $P$ -value is the binomial tail probability for observing the shown number of TAGC SNPs with  $P_{\text{random}} \leq 10^{-4}$  among the SNPs reported in the GWAS catalog for a group of diseases (for example, the probability of observing 20 or more SNPs with  $P_{\text{random}} \leq 10^{-4}$  among the 743 cardiovascular SNP is shown in the last column). We investigated 15 groups and a conservative Bonferroni adjusted significance threshold for enrichment is  $0.05/15=0.003$ .

**Table 4. Enrichment of asthma risk SNPs<sup>a</sup> in promoter and enhancer marks and DNase I hypersensitivity sites**

Type of regulatory elements <sup>b</sup>	Proportion of all cell types (blood cell types) showing enrichment with a given false discover rate (FDR) <sup>c</sup>	
	FDR ≤ 10%	FDR ≤ 5%
All promoter states	6% (26%)	0
Active promoter states	13% (33%)	0
All enhancer states	57% (100%)	43% (89%)
Active enhancer states	66% (100%)	50% (100%)
DNase I hypersensitivity sites	16% (50%)	12% (40%)

<sup>a</sup>Enrichment was assessed at 16 asthma-loci identified by this study (Table 1); the 6p21.3 and 6p21.32 loci that encompass HLA were excluded because of the high amount of variability and LD in this region. <sup>b</sup>Enhancer and promoter states were derived from integrated functional genomics data of 127 ROADMAP and ENCODE reference epigenomes in various cell types (including 27 leukocytes)<sup>27</sup>. DNase I hypersensitivity sites were identified in 51 cell types (including 10 leukocytes)<sup>27</sup>. <sup>c</sup> Empirical-*P*-values for enrichment were obtained using 10,000 Monte-Carlo simulations of random sets of SNPs matching the original set of asthma-associated SNPs<sup>43</sup>; Benjamini-Hochberg's FDR was calculated to correct for multiple testing (see Online Methods for more detail).

## Legends

### Figure 1. Results of the European-ancestry meta-analysis across 127,669 individuals.

(a–f) Manhattan plot (a) and regional plots for loci reaching genome-wide significance in the European-ancestry meta-analysis, including the 6p22.1 locus (b), the 6p21.33 locus (c), the 6q15 locus (d), the 16p13.13 locus (e) and the 17q21.33 locus (f). The x axis presents physical distance in megabases (build 37.3) and the y axis presents  $-\log_{10}(P_{\text{random}})$  values for association statistics. The lead SNP (rsID) in the region is shown as a purple diamond; for remaining SNPs, the color indicates  $r^2$  with the lead SNP. In a,  $-\log_{10}(P_{\text{random}})$  values are for all SNPs passing quality control in at least two-thirds of the studies; the dashed horizontal line represents the genome-wide significance level ( $P_{\text{random}}=10^{-8}$ ); the novel signals detected in the European-ancestry meta-analysis are shown in red, the known signals are in black and those that will reach significance in the multi-ancestry meta-analysis are in blue.

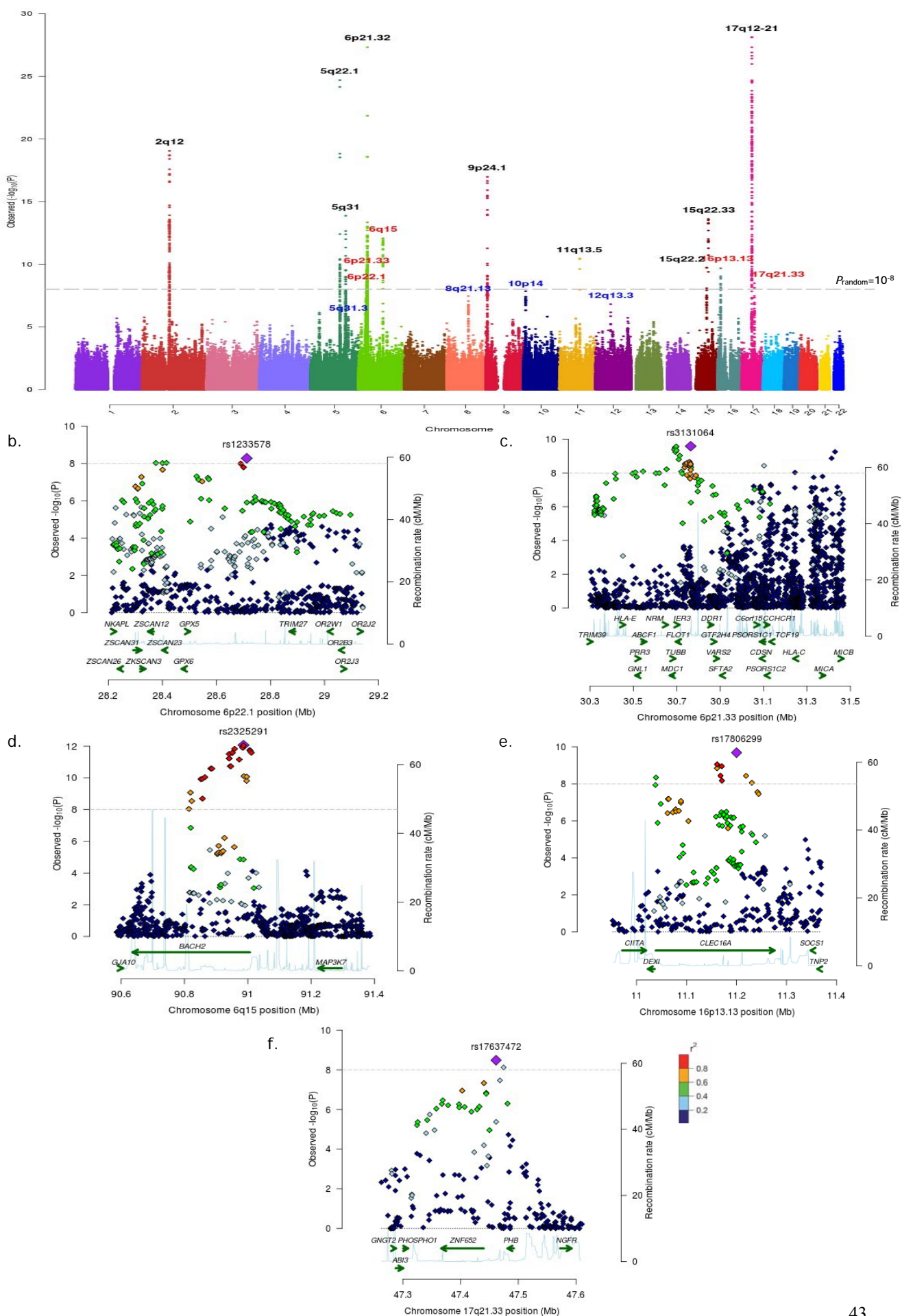
### Figure 2. Results of the multi-ancestry meta-analysis across all 142,486 individuals.

(a–e) Manhattan plot (a) and regional plots for loci reaching genome-wide significance in the multi-ancestry meta-analysis, including the 5q31.3 locus (b), the 8q21.13 locus (c), the 10p14 locus (d) and the 12q13.3 locus (e). The x axis presents physical distance in megabases (build 37.3) and the y axis presents  $-\log_{10}(P_{\text{random}})$  values for association statistics. The lead SNP (rsID) in the region is shown as a purple diamond; for remaining SNPs, the color indicates  $r^2$  with the lead SNP. In a,  $-\log_{10}(P_{\text{random}})$  values are for all SNPs passing quality control in at least two-thirds of the studies; the dashed horizontal line represents the genome-wide significance level ( $P_{\text{random}}=10^{-8}$ ); the novel signals detected in the multi-ancestry meta-analysis are shown in red, the known signals are in black and those that were detected in the European-ancestry meta-analysis are in blue.

### Figure 3. Relationships between asthma-associated loci using GRAIL.

Graphical representation of the connections between SNPs and corresponding genes with GRAIL  $P<0.05$  (the 10p14 locus was ignored since the lead SNP could not be assigned to any gene in the region by GRAIL). Thicker and redder lines imply stronger literature-based connectivity.

**Figure 1**



**Figure 2**

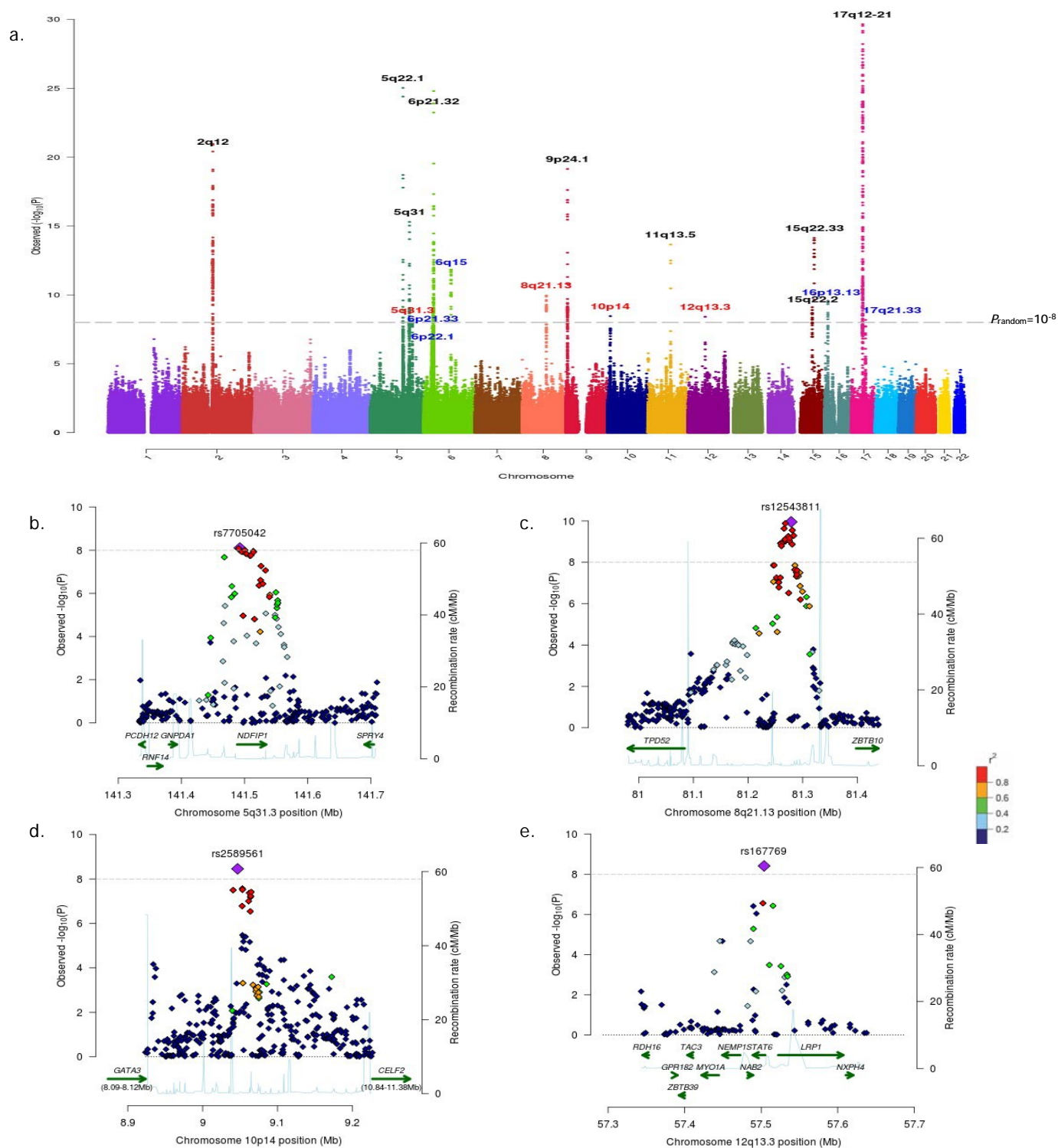
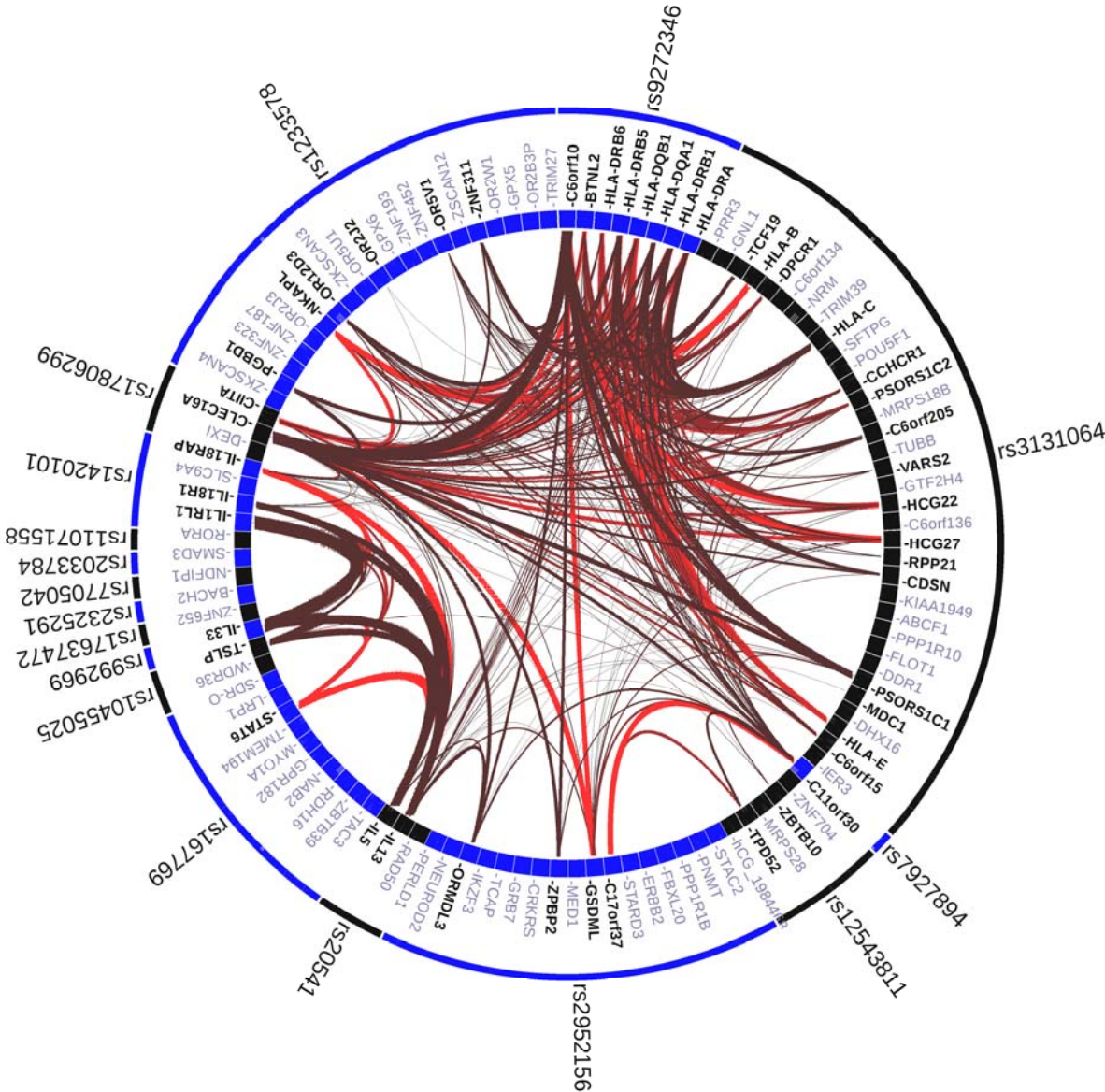


Figure 3



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